

Form **990**

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

2015

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990 and its instructions is at www.irs.gov/form990.

Open to Public Inspection

A For the 2015 calendar year, or tax year beginning **JUL 1, 2015** and ending **JUN 30, 2016**

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization ABBOTT HOUSE		D Employer identification number 13-1991946
	Doing business as		E Telephone number (914) 591-7300
	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	G Gross receipts \$ 46,721,533.
	100 NORTH BROADWAY		H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions) H(c) Group exemption number ▶
City or town, state or province, country, and ZIP or foreign postal code IRVINGTON, NY 10533-1254		F Name and address of principal officer: JAMES KAUFMAN SAME AS C ABOVE	
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527			
J Website: ▶ WWW.ABBOTTHOUSE.NET			
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶			L Year of formation: 1963
M State of legal domicile: NY			

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: THE MISSION OF ABBOTT HOUSE IS TO PROVIDE COMPREHENSIVE AND CARING SERVICES FOR ABUSED, NEGLECTED		
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	14
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	13
	5 Total number of individuals employed in calendar year 2015 (Part V, line 2a)	5	903
	6 Total number of volunteers (estimate if necessary)	6	75
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9 Program service revenue (Part VIII, line 2g)	20,258,845.	18,735,693.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	26,233,635.	27,745,919.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	100,132.	101,741.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	39,535.	-1,846.
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	46,632,147.	46,581,507.
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	0.
	16a Professional fundraising fees (Part IX, column (A), line 11e)	30,513,066.	30,318,760.
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 231,304.	0.	0.
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	15,211,174.	15,399,780.
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	45,724,240.	45,718,540.
19 Revenue less expenses. Subtract line 18 from line 12	907,907.	862,967.	
Net Assets or Fund Balances	20 Total assets (Part X, line 16)	Beginning of Current Year	End of Year
	21 Total liabilities (Part X, line 26)	15,756,850.	15,867,556.
	22 Net assets or fund balances. Subtract line 21 from line 20	24,343,890.	28,097,268.
		-8,587,040.	-12,229,712.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer <i>James Kaufman</i>	Date 4/17/17
	JAMES KAUFMAN, PRESIDENT & CEO Type or print name and title	

Paid Preparer Use Only	Print/Type preparer's name GARRETT M. HIGGINS	Preparer's signature GARRETT M. HIGGINS	Date 04/07/17	Check if self-employed <input type="checkbox"/>	PTIN P00543209
	Firm's name ▶ PKF O'CONNOR DAVIES, LLP	Firm's EIN ▶ 27-1728945		Phone no. 914-381-8900	
	Firm's address ▶ 500 MAMARONECK AVENUE HARRISON, NY 10528-1633				

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III [X]

1 Briefly describe the organization's mission: SEE SCHEDULE O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [] Yes [X] No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [] Yes [X] No

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 15,673,967. including grants of \$) (Revenue \$ 7,884,515.) SCHEDULE O - REGULAR & TREATMENT FAMILY FOSTER CARE PROGRAMS

4b (Code:) (Expenses \$ 14,393,568. including grants of \$) (Revenue \$ 10,264,591.) SCHEDULE O - SERVICES FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES (SPDD)

4c (Code:) (Expenses \$ 6,871,694. including grants of \$) (Revenue \$ 5,467,241.) SCHEDULE O - BRIDGES TO HEALTH (B2H)

4d Other program services (Describe in Schedule O.) (Expenses \$ 4,631,663. including grants of \$) (Revenue \$ 4,129,572.)

4e Total program service expenses 41,570,892.

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>	X	
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X

Part IV Checklist of Required Schedules (continued)

	Yes	No
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>		X
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i>		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

Note. All Form 990 filers are required to complete Schedule O

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		
	1a 118		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
	1b 0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		
	1c		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		
	2a 903		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	X	
	2b		
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
	3a		
b	If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O		
	3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
	4a		
b	If "Yes," enter the name of the foreign country: See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
	4b		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
	5a		
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
	5b		
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
	5c		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		X
	6a		
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
	6b		
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	X	
	7a		
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	X	
	7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
	7c		
d	If "Yes," indicate the number of Forms 8282 filed during the year		
	7d		
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
	7e		
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
	7f		
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
	7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
	7h		
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?		
	8		
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the sponsoring organization make any taxable distributions under section 4966?		
	9a		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		
	9b		
10	Section 501(c)(7) organizations. Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	Section 501(c)(12) organizations. Enter:		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
c	Enter the amount of reserves on hand	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	X
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b	

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year 1a 14 If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.		
b	Enter the number of voting members included in line 1a, above, who are independent 1b 13		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	3	X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	X
6	Did the organization have members or stockholders?	6	X
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	X
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body?	8a	X
b	Each committee with authority to act on behalf of the governing body?	8b	X
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	X
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	X
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	X
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	X
13	Did the organization have a written whistleblower policy?	13	X
14	Did the organization have a written document retention and destruction policy?	14	X
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	15a	X
b	Other officers or key employees of the organization	15b	X
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	X
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

- 17** List the states with which a copy of this Form 990 is required to be filed **NY**
- 18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
 Own website Another's website Upon request Other (explain in Schedule O)
- 19** Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20** State the name, address, and telephone number of the person who possesses the organization's books and records: **GERARD P. FINN - (914) 591-7300**
100 NORTH BROADWAY, IRVINGTON, NY 10533

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII X

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) GREGORY T. MOONEY CHAIR	2.00	X		X				0.	0.	0.
(2) SCOTT D. RICHTER VICE CHAIR	2.00	X		X				0.	0.	0.
(3) MARGARET MILLMAN SECRETARY (THRU 09/2015)	35.00	X		X				51,511.	0.	3,396.
(4) LORRAINE BULLIS SECRETARY	35.00	X		X				59,790.	0.	19,477.
(5) WILLIAM L. ELLIS, JR BOARD MEMBER	2.00	X						0.	0.	0.
(6) LAURENCE R. GOLDING BOARD MEMBER	2.00	X						0.	0.	0.
(7) ROBERT S. RUOTOLO BOARD MEMBER	2.00	X						0.	0.	0.
(8) MARY SMITH BOARD MEMBER	2.00	X						0.	0.	0.
(9) LAWRENCE W. THOMAS BOARD MEMBER	2.00	X						0.	0.	0.
(10) RONALD W. FILANTE BOARD MEMBER	2.00	X						0.	0.	0.
(11) ALICE KENNY BOARD MEMBER	2.00	X						0.	0.	0.
(12) JOSEPH M. PASTORE BOARD MEMBER	2.00	X						0.	0.	0.
(13) DAVID BARANICK BOARD MEMBER	2.00	X						0.	0.	0.
(14) LEN MITCHELL BOARD MEMBER	2.00	X						0.	0.	0.
(15) REBECCA BORDEN BOARD MEMBER	2.00	X						0.	0.	0.
(16) JENNIFER PATTON BOARD MEMBER (THRU 02/2016)	2.00	X						0.	0.	0.
(17) JAMES KAUFMAN PRESIDENT / CEO	35.00			X				239,955.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) LUIS M. RODRIGUEZ, MD SENIOR VICE PRESIDENT	35.00			X				221,500.	0.	8,946.
(19) GERARD FINN SR. VICE PRESIDENT & CFO	35.00			X				193,808.	0.	15,237.
(20) STEPHEN RIORDAN VICE PRESIDENT (THRU 12/2015)	35.00			X				136,251.	0.	15,237.
(21) MOITRI DATTA PSYCHIATRIST	27.00				X			160,482.	0.	2,970.
(22) MYRA GRAY VICE PRESIDENT - HR.	35.00				X			165,956.	0.	3,600.
(23) DANIEL BARCKHAUS DIRECTOR - SOCIAL SERVICE	35.00				X			134,154.	0.	15,237.
(24) TATYANA SARTAN MEDICAL - PHYSICIANS	35.00				X			143,512.	0.	8,946.
(25) ELLEN WALKER DIRECTOR - FINANCE	35.00				X			130,778.	0.	15,237.
1b Sub-total								1,637,697.	0.	108,283.
c Total from continuation sheets to Part VII, Section A								0.	0.	0.
d Total (add lines 1b and 1c)								1,637,697.	0.	108,283.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **12**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
MATRIX QUALITY CARE, INC. 4 BRITISH AMERICAN BLVD, LATHAM, NY 12110	HEALTH SERVICES	302,927.
JOHN E, EYERMAN, P.C., 225 BROADWAY, SUITE 1800, NEW YORK, NY 10007	LEGAL SERVICES	173,081.
PKF O'CONNOR DAVIES, LLP, 500 MAMARONECK AVENUE, SUITE 301, HARRISON, NY 10528	ACCOUNTING SERVICES	104,250.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **3**

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a					
	b Membership dues	1b					
	c Fundraising events	1c	141,455.				
	d Related organizations	1d					
	e Government grants (contributions)	1e	18,539,323.				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	54,915.				
	g Noncash contributions included in lines 1a-1f: \$		4,380.				
	h Total. Add lines 1a-1f		18,735,693.				
	Program Service Revenue	2 a <u>MEDICAID</u>	Business Code 623990	26,385,684.	26,385,684.		
b <u>SOCIAL SECURITY INCOME</u>		623990	1,167,099.	1,167,099.			
c <u>SUPP. NUTRITION ASSISTANCE PROGRA</u>		623990	193,136.	193,136.			
d							
e							
f All other program service revenue							
g Total. Add lines 2a-2f			27,745,919.				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		76,020.			76,020.	
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties						
	6 a Gross rents	(i) Real	(ii) Personal				
		84,334.					
		b Less: rental expenses		56,634.			
		c Rental income or (loss)		27,700.			
	d Net rental income or (loss)			27,700.		27,700.	
	7 a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
		679.	26,655.				
		b Less: cost or other basis and sales expenses		284.	1,329.		
		c Gain or (loss)		395.	25,326.		
	d Net gain or (loss)			25,721.		25,721.	
	8 a Gross income from fundraising events (not including \$ 141,455. of contributions reported on line 1c). See Part IV, line 18	a		46,384.			
b Less: direct expenses		b	81,779.				
c Net income or (loss) from fundraising events				-35,395.		-35,395.	
9 a Gross income from gaming activities. See Part IV, line 19	a						
	b Less: direct expenses	b					
	c Net income or (loss) from gaming activities						
10 a Gross sales of inventory, less returns and allowances	a						
	b Less: cost of goods sold	b					
	c Net income or (loss) from sales of inventory						
Miscellaneous Revenue		Business Code					
11 a <u>OTHER INCOME</u>	900099		5,849.			5,849.	
b							
c							
d All other revenue							
e Total. Add lines 11a-11d			5,849.				
12 Total revenue. See instructions.			46,581,507.	27,745,919.	0.	99,895.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21				
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	850,570.	308,422.	542,148.	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	23,779,554.	22,003,589.	1,617,137.	158,828.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	415,759.	376,807.	36,246.	2,706.
9 Other employee benefits	3,356,943.	3,071,887.	263,071.	21,985.
10 Payroll taxes	1,915,934.	1,737,708.	165,847.	12,379.
11 Fees for services (non-employees):				
a Management				
b Legal	252,254.	162,406.	89,848.	
c Accounting	88,915.	13,573.	75,342.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	930,934.	755,738.	169,526.	5,670.
12 Advertising and promotion				
13 Office expenses	2,452,059.	2,123,671.	300,601.	27,787.
14 Information technology	254,236.	216,118.	36,484.	1,634.
15 Royalties				
16 Occupancy	2,368,818.	2,269,550.	99,268.	
17 Travel	392,026.	340,622.	51,369.	35.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest	162,160.	151,233.	10,927.	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	519,285.	507,488.	11,797.	
23 Insurance	1,045,978.	725,829.	320,149.	
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a FOSTER CARE PAYMENTS AN	4,889,991.	4,889,991.		
b VEHICLES, FUEL, REPAIR	1,105,863.	1,064,778.	41,085.	
c FOOD AND CLOTHING	605,115.	601,687.	3,148.	280.
d CHILDREN'S EXPENSE	218,946.	218,871.	75.	
e All other expenses	113,200.	30,924.	82,276.	
25 Total functional expenses. Add lines 1 through 24e	45,718,540.	41,570,892.	3,916,344.	231,304.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here if following SOP 98-2 (ASC 958-720)

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year	
Assets	1	Cash - non-interest-bearing	50,779.	1	47,700.
	2	Savings and temporary cash investments	3,298,277.	2	3,850,510.
	3	Pledges and grants receivable, net		3	
	4	Accounts receivable, net	5,124,416.	4	4,758,605.
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	329,548.	9	360,958.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 16,876,525.		
	b	Less: accumulated depreciation	10b 12,634,420.	4,356,484.	10c 4,242,105.
	11	Investments - publicly traded securities	2,304,122.	11	2,358,174.
	12	Investments - other securities. See Part IV, line 11		12	
	13	Investments - program-related. See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets. See Part IV, line 11	293,224.	15	249,504.
16	Total assets. Add lines 1 through 15 (must equal line 34)	15,756,850.	16	15,867,556.	
Liabilities	17	Accounts payable and accrued expenses	6,015,751.	17	6,293,570.
	18	Grants payable		18	
	19	Deferred revenue	1,102,104.	19	1,056,997.
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D	130,629.	21	164,185.
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties	6,709,649.	23	6,231,654.
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	10,385,757.	25	14,350,862.
	26	Total liabilities. Add lines 17 through 25	24,343,890.	26	28,097,268.
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27	Unrestricted net assets	-8,899,477.	27	-12,473,469.
	28	Temporarily restricted net assets	246,687.	28	178,007.
	29	Permanently restricted net assets	65,750.	29	65,750.
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
33	Total net assets or fund balances	-8,587,040.	33	-12,229,712.	
34	Total liabilities and net assets/fund balances	15,756,850.	34	15,867,556.	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	46,581,507.
2	Total expenses (must equal Part IX, column (A), line 25)	2	45,718,540.
3	Revenue less expenses. Subtract line 2 from line 1	3	862,967.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	-8,587,040.
5	Net unrealized gains (losses) on investments	5	-42,038.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-4,463,601.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	-12,229,712.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other		
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		X
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:			
<input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	X	
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:			
<input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis			
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	X	
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	X	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	X	

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2015

Open to Public Inspection

Name of the organization
ABBOTT HOUSE

Employer identification number
13-1991946

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 10 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g.
 - a **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
 - b **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
 - c **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
 - d **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
 - e Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
- f Enter the number of supported organizations _____
- g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	19,526,180.	18,884,557.	17,403,375.	20,258,845.	18,735,693.	94,808,650.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	19,526,180.	18,884,557.	17,403,375.	20,258,845.	18,735,693.	94,808,650.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4.						94,808,650.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
7 Amounts from line 4	19,526,180.	18,884,557.	17,403,375.	20,258,845.	18,735,693.	94,808,650.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	430,653.	406,450.	186,519.	162,087.	160,354.	1,346,063.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	51,947.	175,366.	128,802.	44,184.	5,849.	406,148.
11 Total support. Add lines 7 through 10						96,560,861.
12 Gross receipts from related activities, etc. (see instructions)					12	131,952,701.
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

Section C. Computation of Public Support Percentage

14 Public support percentage for 2015 (line 6, column (f) divided by line 11, column (f))	14	98.19	%
15 Public support percentage from 2014 Schedule A, Part II, line 14	15	98.01	%
16a 33 1/3% support test - 2015. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	<input checked="" type="checkbox"/>		
b 33 1/3% support test - 2014. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	<input type="checkbox"/>		
17a 10% -facts-and-circumstances test - 2015. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization	<input type="checkbox"/>		
b 10% -facts-and-circumstances test - 2014. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization	<input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions	<input type="checkbox"/>		

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

Section C. Computation of Public Support Percentage

15 Public support percentage for 2015 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2014 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2015 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2014 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2015. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2014. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Part IV Supporting Organizations

(Complete only if you checked a box in line 11 on Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No" describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked 11a or 11b in Part I, answer (b) and (c) below.</i>		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer 10b below.</i>		
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		

Part IV Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.		

Section B. Type I Supporting Organizations

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):		
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).		
2 Activities Test. Answer (a) and (b) below.		
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.		
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.		
3 Parent of Supported Organizations. Answer (a) and (b) below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.		
b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1 Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount		(A) Prior Year	Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	

- 7 Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions).

Schedule A (Form 990 or 990-EZ) 2015

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions.	
7 Total annual distributions. Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	
9 Distributable amount for 2015 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2015	(iii) Distributable Amount for 2015
1 Distributable amount for 2015 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2015 (reasonable cause required-see instructions)			
3 Excess distributions carryover, if any, to 2015:			
a			
b			
c			
d From 2013			
e From 2014			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2015 distributable amount			
i Carryover from 2010 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2015 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2015 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2015, if any. Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions).			
6 Remaining underdistributions for 2015. Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions).			
7 Excess distributions carryover to 2016. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a			
b			
c Excess from 2013			
d Excess from 2014			
e Excess from 2015			

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

SCHEDULE A, PART II, LINE 10, EXPLANATION FOR OTHER INCOME:

OTHER INCOME

2011 AMOUNT: \$ 7,068.

2013 AMOUNT: \$ 17,916.

2014 AMOUNT: \$ 44,184.

2015 AMOUNT: \$ 5,849.

PROPERTY INSURANCE RECOVERY

2011 AMOUNT: \$ 44,879.

2012 AMOUNT: \$ 162,624.

2013 AMOUNT: \$ 73,065.

PARKING TICKET REIMBURSEMENT

2012 AMOUNT: \$ 6,792.

TRAINING INCOME

2012 AMOUNT: \$ 5,950.

PROPERTY TAX REIMBURSEMENT

2013 AMOUNT: \$ 37,821.

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2015

Name of the organization

ABBOTT HOUSE

Employer identification number

13-1991946

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization

Employer identification number

ABBOTT HOUSE

13-1991946

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DUTCHESS COUNTY DEPARTMENT OF SOCIAL SERVICES 60 MARKET STREET POUGHKEEPSIE, NY 12601-3299	\$ 611,171.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	NYC ADMINISTRATION FOR CHILDREN SERVICES 150 WILLIAM STREET NEW YORK, NY 10038	\$ 8,610,118.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES 44 HOLLAND AVENUE ALBANY, NY 12229	\$ 794,407.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	ORANGE COUNTY DEPARTMENT OF SOCIAL SERVICES 11 QUARRY ROAD, BOX Z GOSHEN, NY 10924-0678	\$ 1,602,621.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	SULLIVAN COUNTY DEPARTMENT OF SOCIAL SERVICES 16 COMMUNITY LANE, P.O. BOX 231 LIBERTY, NY 12754	\$ 754,379.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	WESTCHESTER COUNTY DEPARTMENT OF SOCIAL SERVICES 112 EAST POST ROAD, COUNTY OFFICE BUILDING #2 WHITE PLAINS, NY 10601-5113	\$ 4,753,802.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

ABBOTT HOUSE

13-1991946

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES 200 INDEPENDENCE AVENUE, SW ROOM 716G WASHINGTON, DC 20201	\$ 640,793.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	NYC DEPARTMENT OF EDUCATION 52 CHAMBERS STREET NEW YORK, NY 10007	\$ 506,197.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

ABBOTT HOUSE

13-1991946

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____

Name of organization ABBOTT HOUSE	Employer identification number 13-1991946
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**
▶ **Attach to Form 990.**

▶ **Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.**

OMB No. 1545-0047

2015

Open to Public Inspection

Name of the organization **ABBOTT HOUSE** Employer identification number **13-1991946**

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		<input type="checkbox"/> Yes <input type="checkbox"/> No

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

Preservation of land for public use (e.g., recreation or education) Preservation of a historically important land area

Protection of natural habitat Preservation of a certified historic structure

Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

Yes No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

Yes No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1

▶ \$ _____

(ii) Assets included in Form 990, Part X

▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1

▶ \$ _____

b Assets included in Form 990, Part X

▶ \$ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a Public exhibition
 - b Scholarly research
 - c Preservation for future generations
 - d Loan or exchange programs
 - e Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

Part V Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	2,527,845.	2,462,301.	2,055,947.	1,824,237.	1,887,551.
b Contributions					
c Net investment earnings, gains, and losses	33,946.	88,026.	425,388.	248,744.	-62,078.
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses	22,257.	22,482.	19,034.	17,034.	1,236.
g End of year balance	2,539,534.	2,527,845.	2,462,301.	2,055,947.	1,824,237.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment 96.68 %

b Permanent endowment 2.59 %

c Temporarily restricted endowment .73 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations Yes No

(ii) related organizations Yes No

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? Yes No

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		1,697,474.		1,697,474.
b Buildings		10,404,915.	8,410,330.	1,994,585.
c Leasehold improvements		1,095,911.	949,013.	146,898.
d Equipment		2,264,444.	2,130,516.	133,928.
e Other		1,413,781.	1,144,561.	269,220.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				4,242,105.

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.)		

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.)		

Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)	

Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) ACCRUED PENSION	13,467,395.
(3) DUE TO GOVERNMENT AGENCIES	883,467.
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)	14,350,862.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	46,677,882.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains (losses) on investments	2a	-42,038.	
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d	138,413.	
e	Add lines 2a through 2d	2e	96,375.	
3	Subtract line 2e from line 1	3	46,581,507.	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c	0.	
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	46,581,507.	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	45,856,953.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d	138,413.	
e	Add lines 2a through 2d	2e	138,413.	
3	Subtract line 2e from line 1	3	45,718,540.	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c	0.	
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	45,718,540.	

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART IV, LINE 2B:

FUNDS ARE HELD BY ABBOTT HOUSE ON BEHALF OF CLIENTS. SUCH FUNDS REPRESENT AMOUNTS RECEIVED BY CLIENTS AND OTHER CLIENTS' FUNDS DEPOSITED WITH ABBOTT HOUSE FOR SAFEKEEPING. THESE FUNDS ARE DISBURSED BY ABBOTT HOUSE AT THE REQUEST OF, OR ON BEHALF OF, CLIENTS FOR THEIR PERSONAL USE.

PART V, LINE 4:

THE AGENCY'S ENDOWMENT CONSISTS OF INDIVIDUAL FUNDS ESTABLISHED FOR A VARIETY OF PURPOSES WHICH CONSIST OF BOTH DONOR-RESTRICTED ENDOWMENT FUNDS AND FUNDS DESIGNATED BY THE BOARD OF DIRECTORS TO FUNCTION AS AN ENDOWMENT.

Part XIII Supplemental Information (continued)

PART X, LINE 2:

THE AGENCY RECOGNIZES THE EFFECT OF INCOME TAX POSITIONS ONLY IF THOSE POSITIONS ARE MORE LIKELY THAN NOT TO BE SUSTAINED. MANAGEMENT HAS DETERMINED THAT THE AGENCY HAD NO UNCERTAIN TAX POSITIONS THAT WOULD REQUIRE FINANCIAL STATEMENT RECOGNITION OR DISCLOSURE. THE AGENCY IS NO LONGER SUBJECT TO EXAMINATIONS BY APPLICABLE TAXING JURISDICTIONS FOR FISCAL PERIODS PRIOR TO JUNE 30, 2013.

PART XI, LINE 2D - OTHER ADJUSTMENTS:

RENT EXPENSES REPORTED ON FORM 990, PART VIII, LINE 6B	56,634.
SPECIAL EVENT EXPENSES REPORTED ON FORM 990, PART VIII,	
LINE 8B	81,779.
TOTAL TO SCHEDULE D, PART XI, LINE 2D	138,413.

PART XII, LINE 2D - OTHER ADJUSTMENTS:

RENT EXPENSES REPORTED ON FORM 990, PART VIII, LINE 6B	56,634.
SPECIAL EVENT EXPENSES REPORTED ON FORM 990, PART VIII,	
LINE 8B	81,779.
TOTAL TO SCHEDULE D, PART XII, LINE 2D	138,413.

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2015

Open to Public
Inspection

Name of the organization

ABBOTT HOUSE

Employer identification number

13-1991946

Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a Mail solicitations
 b Internet and email solicitations
 c Phone solicitations
 d In-person solicitations
 e Solicitation of non-government grants
 f Solicitation of government grants
 g Special fundraising events

2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? Yes No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Total				▶		

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events (add col. (a) through col. (c))
		GALA (event type)	GOLF OUTING (event type)	NONE (total number)	
Revenue	1 Gross receipts	98,187.	89,652.		187,839.
	2 Less: Contributions	77,093.	64,362.		141,455.
	3 Gross income (line 1 minus line 2)	21,094.	25,290.		46,384.
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs	35,734.	26,475.		62,209.
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses	18,428.	1,142.		19,570.
	10 Direct expense summary. Add lines 4 through 9 in column (d)				81,779.
11 Net income summary. Subtract line 10 from line 3, column (d)				-35,395.	

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
		1 Gross revenue			
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
7 Direct expense summary. Add lines 2 through 5 in column (d)					
8 Net gaming income summary. Subtract line 7 from line 1, column (d)					

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? Yes No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? Yes No

b If "Yes," explain: _____

- 11 Does the organization conduct gaming activities with nonmembers? Yes No
- 12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? Yes No
- 13 Indicate the percentage of gaming activity conducted in:

a The organization's facility	13a	%
b An outside facility	13b	%
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ _____

Address ▶ _____

- 15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No
- b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____
- c If "Yes," enter name and address of the third party:

Name ▶ _____

Address ▶ _____

16 Gaming manager information:

Name ▶ _____

Gaming manager compensation ▶ \$ _____

Description of services provided ▶ _____

- Director/officer Employee Independent contractor

17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV **Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

Part IV Supplemental Information *(continued)*

**SCHEDULE J
(Form 990)**

Compensation Information

OMB No. 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

2015

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ABBOTT HOUSE

Employer identification number

13-1991946

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|--|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- | | |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
- b** Any related organization?
- If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
- b** Any related organization?
- If "Yes" on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described on lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1b		
2		
4a		X
4b		X
4c		X
5a		X
5b		X
6a		X
6b		X
7	X	
8		X
9		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2015

Part II. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) JAMES KAUFMAN PRESIDENT / CEO	224,955.	15,000.	0.	0.	0.	239,955.	0.
(2) LUIS M. RODRIGUEZ, MD SENIOR VICE PRESIDENT	221,500.	0.	0.	2,970.	5,976.	230,446.	0.
(3) GERARD FINN SR. VICE PRESIDENT & CFO	188,808.	5,000.	0.	0.	15,237.	209,045.	0.
(4) STEPHEN RIORDAN VICE PRESIDENT (THRU 12/2015)	136,251.	0.	0.	0.	15,237.	151,488.	0.
(5) MOITRI DATTA PSYCHIATRIST	160,482.	0.	0.	2,970.	0.	163,452.	0.
(6) MYRA GRAY VICE PRESIDENT - HR.	158,456.	7,500.	0.	2,970.	630.	169,556.	0.
(7) TATYANA SARTAN MEDICAL - PHYSICIANS	143,512.	0.	0.	2,970.	5,976.	152,458.	0.
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 7:

JAMES KAUFMAN, PRESIDENT AND CEO, GERARD FINN, SENIOR VICE PRESIDENT AND CFO, AND MYRA GRAY, VICE PRESIDENT OF HUMAN RESOURCES, RECEIVED A \$15,000, \$5,000, AND \$7,500 BONUS RESPECTIVELY. JAMES KAUFMAN'S BONUS IS APPROVED BY THE BOARD. GERARD FINN'S AND MYRA GRAY'S BONUSES ARE APPROVED BY JAMES KAUFMAN, PRESIDENT AND CEO. THESE BONUSES ARE DETERMINED BASED ON THE PERFORMANCE OF THEIR ANNUAL REVIEW.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2015

Open to Public
Inspection

Name of the organization

ABBOTT HOUSE

Employer identification number

13-1991946

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

AND ABANDONED CHILDREN AND THEIR FAMILIES AND TO OFFER OUR SERVICES
WITH COMPASSION, ALWAYS MINDFUL OF THE DIGNITY OF EACH PERSON SERVED,
WITH A GOAL OF SECURING A SAFE, PERMANENT AND LOVING HOME FOR EACH
CHILD WHO COMES TO US.

AS THE PROVIDER OF DAY AND RESIDENTIAL SERVICES FOR DEVELOPMENTALLY
DISABLED CHILDREN AND ADULTS, WE CELEBRATE THE VALUE AND POTENTIAL OF
EACH PERSON AS WE COMMIT OUR RESOURCES TO ENABLE EACH INDIVIDUAL TO
DEVELOP TO HIS/HER POTENTIAL.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

FOUNDED IN 1963, ABBOTT HOUSE IS A NONPROFIT ORGANIZATION SERVING
FOSTER CHILDREN, CHILDREN AWAITING FAMILY REUNIFICATION, YOUTH WITH
MENTAL HEALTH ISSUES, AND ADULTS WITH DEVELOPMENTAL DISABILITIES AND
THEIR FAMILIES. WE ARE COMMITTED TO PRESERVING FAMILY LIFE THROUGH
EARLY INTERVENTION, QUALITY CARE, ADVOCACY, AND AFTER-CARE SERVICES.
ABBOTT HOUSE IS 620 DEDICATED PROFESSIONALS, 183 FOSTER AND ADOPTIVE
PARENTS, AND 75 VOLUNTEERS, ALL FOCUSED ON THE SINGULAR MISSION OF
SERVING INDIVIDUALS AND FAMILIES ENTRUSTED TO ITS CARE. ABBOTT HOUSE IS
A COMMUNITY-BASED HUMAN SERVICES AGENCY WITH PROGRAMS THROUGHOUT THE
LOWER HUDSON VALLEY AND NEW YORK CITY.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

FOSTER CARE AND TREATMENT FAMILY FOSTER CARE

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.
532211
09-02-15

Schedule O (Form 990 or 990-EZ) (2015)

Name of the organization

ABBOTT HOUSE

Employer identification number

13-1991946

THE ABBOTT HOUSE FAMILY FOSTER CARE (FFC) AND TREATMENT FAMILY FOSTER CARE (TFFC) PROGRAMS PROVIDE CHILDREN WHO HAVE EXPERIENCED TRAUMA FROM NEGLECT, PHYSICAL OR EMOTIONAL ABUSE, OR ABANDONMENT WITH A SAFE, NURTURING, TEMPORARY HOME TO BEGIN TO HEAL AND BUILD RESILIENCY. COMBINED WITH THE TRAUMA OF BEING REMOVED FROM THEIR HOME, THESE CHILDREN OFTEN HAVE LONG-LASTING THERAPEUTIC NEEDS. ABBOTT HOUSE'S FAMILIES ARE LICENSED FOSTER PARENTS WHO HAVE BEEN UNIQUELY TRAINED TO HELP CHILDREN BEGIN TO HEAL, BUILD RESILIENCY AND A PATH TOWARDS A BETTER FUTURE. THE PRIMARY GOAL OF THESE PROGRAMS IS TO SAFELY REUNITE THE CHILDREN WITH THEIR FAMILIES WHENEVER POSSIBLE. THROUGH SUPPORT SERVICES PROVIDED TO BIRTH PARENTS INCLUDING PARENTING SKILL TRAINING, SUBSTANCE ABUSE COUNSELING AND OTHER SERVICES, ABBOTT HOUSE IS ABLE TO SUCCESSFULLY REUNITE MANY FAMILIES OVER TIME. WHEN REUNIFICATION IS NOT POSSIBLE, WE STRIVE TO FIND A FAMILY MEMBER WHO IS WILLING AND ABLE TO PROVIDE A PERMANENT HOME THROUGH KINSHIP PLACEMENT, OR A LOVING FAMILY TO ADOPT. WHETHER THE CHILD IS PLACED IN A FOSTER, KINSHIP, OR ADOPTIVE FAMILY, ABBOTT HOUSE IS THERE TO PARTNER WITH THE FAMILY AND PROVIDE THE SERVICES AND RESOURCES REQUIRED TO MEET THE UNIQUE NEEDS OF EVERY CHILD. THESE SERVICES AND RESOURCES INCLUDE CASE MANAGEMENT, MEDICAL AND MENTAL HEALTH CARE, EDUCATIONAL SUPPORT, BEHAVIORAL SUPPORT, STRENGTH AND LIFE SKILLS, AND SOCIAL INTEGRATION AND COMMUNITY ENGAGEMENT. ABBOTT HOUSE RECOGNIZES THAT PROVIDING STRONG THERAPEUTIC FOSTER CARE IS THE BEST WAY TO PREVENT RESIDENTIAL PLACEMENT AND/OR PSYCHIATRIC HOSPITALIZATION FOR CHILDREN.

2016 OUTCOMES:

-503 CHILDREN AND TEENAGERS HAD A SAFE AND COMFORTABLE BED TO SLEEP IN

Name of the organization

ABBOTT HOUSE

Employer identification number

13-1991946

-26 CHILDREN WERE SUCCESSFULLY ADOPTED

-130 NEW CHILDREN WERE WELCOMED INTO ABBOTT HOUSE'S FOSTER CARE PROGRAM

-66 CHILDREN WERE SUCCESSFULLY REUNITED WITH THEIR BIOLOGICAL FAMILIES

-DAYS OF CARE: FFC - 66,504 AND TFC - 44,521

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:

SERVICES FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES (SPDD)

ABBOTT HOUSE PROVIDES MULTIPLE SERVICES FOR ADULTS WITH DEVELOPMENTAL

DISABILITIES INCLUDING RESIDENTIAL LIVING, MEDICAID SERVICE

COORDINATION, SELF-ADVOCACY SKILLS, AND COMMUNITY INTEGRATION. OUR

PROGRAM FOCUSES ON PROVIDING SAFE AND ENCOURAGING ENVIRONMENTS,

OPPORTUNITIES, AND THE SUPPORT NEEDED TO LIVE FULFILLING AND

INDEPENDENT LIVES. ABBOTT HOUSE BELIEVES EACH OF THE DEVELOPMENTALLY

DISABLED ADULTS WE SERVE HAS THEIR OWN UNIQUE TALENTS, ABILITIES, GOALS

AND DREAMS. WE ARE THEIR PARTNERS TO HELP THEM MAKE CHOICES, ENHANCE

DAILY AND INDEPENDENT LIVING SKILLS, ESTABLISH COMMUNITY CONNECTIONS

THROUGH EMPLOYMENT, VOLUNTEER WORK, AND PARTICIPATE IN RECREATIONAL

ACTIVITIES THAT WILL HELP THEM ACHIEVE THESE PERSONAL OBJECTIVES. MANY

OF THE ADULTS IN OUR PROGRAM HAVE BEEN WITH ABBOTT HOUSE FOR MOST OF

THEIR LIVES, AND AS THEIR PARENTS CONTINUE TO AGE, OUR CARE PROVIDES

PEACE OF MIND THAT THEIR ADULT CHILDREN ARE SAFE AND WELL TAKEN CARE

OF.

ABBOTT HOUSE PROVIDES SERVICES TO OVER 100 INTELLECTUALLY OR DISABLED

ADULTS THROUGHOUT WESTCHESTER, ROCKLAND AND THE BRONX. WE OFFER 15

RESIDENTIAL PROGRAMS WHERE THE ADULTS LIVE SEMI-INDEPENDENTLY IN SMALL

GROUPS WITH 24-HOUR GUIDANCE FROM SPECIALLY TRAINED STAFF. THEY HAVE A

Name of the organization

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PLACE TO CALL HOME AND RECEIVE THE SUPPORT THEY NEED 24/7. ABBOTT HOUSE HAS 1 INTERMEDIATE CARE FACILITY (ICF) TO MEET THE NEEDS OF DEVELOPMENTALLY DISABLED ADULTS WHO ARE MEDICALLY FRAIL AND ARE IN NEED OF ON-SITE CLINICAL SERVICES. FOR THOSE DEVELOPMENTALLY DISABLED ADULTS ABLE TO REMAIN AT HOME WITH THEIR FAMILY, WE OFFER 2 COMMUNITY-BASED DAY HABILITATION PROGRAMS WHICH ALSO INCLUDE SUPPORTIVE EMPLOYMENT SERVICES, PATHWAYS TO EMPLOYMENT, PREVOCATIONAL TRAINING SERVICES, MEDICAID SERVICE COORDINATION (MSC), SPECIAL OLYMPICS PARTICIPATION, A SELF-ADVOCACY PROGRAM, A KIWANIS CLUB, AND WE PARTICIPATE IN VARIOUS OTHER COMMUNITY ACTIVITIES.

2016 OUTCOMES:

- 103 INDIVIDUALS HAD A SAFE AND SUPERVISED HOME TO LIVE AND GROW IN
- 86 INDIVIDUALS RECEIVED MEDICAID SERVICE COORDINATION
- 36 INDIVIDUALS PARTICIPATED IN ABBOTT HOUSE'S DAY HABILITATION PROGRAM
- 26 INDIVIDUALS VOLUNTEERED IN THEIR COMMUNITY

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:

BRIDGES TO HEALTH (B2H)

THROUGH OUR FAMILY FOSTER CARE AND THERAPEUTIC FAMILY FOSTER CARE PROGRAMS WE ARE ABLE TO QUICKLY IDENTIFY CHILDREN WHO HAVE ONE OR MORE MENTAL HEALTH DISORDERS, SUCH AS POST-TRAUMATIC STRESS, AND AT LEAST ONE CHRONIC MEDICAL CONDITION OR DEVELOPMENTAL DISABILITY. THESE CHILDREN WITH SERIOUS EMOTIONAL, DEVELOPMENTAL AND MEDICAL CHALLENGES NEED ADDITIONAL SUPPORT. THE BRIDGES TO HEALTH (B2H) PROGRAM IS A NEW YORK STATE INITIATIVE OF THE OFFICE OF CHILDREN AND FAMILY SERVICES THAT ENABLES ABBOTT HOUSE TO PROVIDE HEALTH CARE MANAGEMENT AND SUPPORTIVE SERVICES TO CHILDREN IN FOSTER CARE, CONTINUING WHEN THEY

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ARE REUNITED WITH THEIR BIRTH PARENTS OR WHEN THEY ARE ADOPTED BY KINSHIP OR ANOTHER FAMILY. B2H SERVICES ARE DESIGNED TO ADDRESS THE UNIQUE ISSUES THE CHILD AND THE FAMILY ARE EXPERIENCING TO PROMOTE PERMANENT HOME AND TO MAXIMIZE THEIR ABILITY TO FUNCTION IN THEIR HOME, COMMUNITY AND SCHOOL UP UNTIL THE AGE THE CHILD TURNS 21 AND THEY LEAVE CARE. THE GUIDING PRINCIPLE OF B2H IS PARTNERING WITH THE CHILD AND THE FAMILY TO IDENTIFY ONGOING AND NEW SUPPORT NEEDS UNIQUE TO THEIR CONCERNS AND GOALS. ABBOTT HOUSE OFFERS A WIDE SPECTRUM OF SUPPORTIVE AND SPECIALIZED SERVICES TAILORED TO MEET EVERY CHILD'S NEEDS. THESE INCLUDE IN-HOME CRISIS INTERVENTION, DAY HABILITATION, PRE-VOCATIONAL TRAINING, SUPPORTED EMPLOYMENT, TRAINING FOR CAREGIVERS IN CRISIS AVOIDANCE AND MANAGEMENT, PLANNED AND EMERGENCY RESPITE CARE, SPECIAL NEEDS ADVOCACY AND THE PURCHASE OF ADAPTIVE EQUIPMENT. ABBOTT HOUSE IS THE ONLY SOCIAL SERVICE AGENCY THAT PROVIDES THESE SERVICES TO BOTH NEW YORK CITY AND THE LOWER HUDSON VALLEY REGION.

2016 OUTCOMES:

-268 CHILDREN AND TEENAGERS RECEIVED B2H SERVICES (86 IN LOWER HUDSON VALLEY AND 182 IN NYC)

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

IN ADDITION TO THE PROGRAMS ABOVE, ABBOTT HOUSE PERFORMS OTHER RESIDENTIAL PROGRAMS.

EXPENSES \$ 4,631,663. INCLUDING GRANTS OF \$ 0. REVENUE \$ 4,129,572.

FORM 990, PART VI, SECTION B, LINE 11:

ABBOTT HOUSE HAS ITS FORM 990 PREPARED BY AN OUTSIDE ACCOUNTING FIRM AND HAS ESTABLISHED THE FOLLOWING REVIEW PROCESS TO ENSURE THAT THE INFORMATION

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REPORTED IS COMPLETE AND ACCURATE. WHEN THE FORM 990 HAS BEEN PREPARED, REVIEWED BY MANAGEMENT AND IS READY TO BE FILED WITH THE INTERNAL REVENUE SERVICE, IT IS ELECTRONICALLY SENT TO THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS FOR ANY COMMENTS. ANY COMMENTS ARE THEN GROUPED, SUMMARIZED AND PROVIDED TO THE OUTSIDE ACCOUNTANTS. EACH ISSUE IS DOCUMENTED AND ADDRESSED UNTIL THE RETURN IS FINALIZED AND APPROVED FOR FILING. AT THE BOARD MEETING FOLLOWING THE FILING OF THE FORM 990, THE CEO AND CFO PRESENT THE FORM 990 TO THE ENTIRE BOARD. THE 990 IS ELECTRONICALLY SENT TO THE ENTIRE BOARD BEFORE THE MEETING.

FORM 990, PART VI, SECTION B, LINE 12C:

EACH KEY EMPLOYEE, BOARD MEMBER AND OFFICER OF ABBOTT HOUSE SHALL COMPLETE A CONFLICT OF INTEREST QUESTIONNAIRE AT THE TIME OF HIS/HER APPOINTMENT. THE QUESTIONNAIRE FOR THE KEY EMPLOYEES WILL BE REVIEWED BY THE PRESIDENT AND CEO, WHO WILL ATTEMPT TO RESOLVE ANY ACTUAL OR POTENTIAL CONFLICTS. IN ADDITION, THE QUESTIONNAIRE FOR THE BOARD MEMBERS AND OFFICERS SHALL BE REVIEWED BY THE CHAIRMAN OF THE BOARD IN CONSULTATION WITH THE PRESIDENT AND CEO, WHO WILL ATTEMPT TO RESOLVE ANY ACTUAL OR POTENTIAL CONFLICTS. THEREAFTER, THE QUESTIONNAIRE WILL BE COMPLETED ON AN ANNUAL BASIS FOR EMPLOYEES, OFFICERS, AND BOARD MEMBERS.

A KEY EMPLOYEE, BOARD MEMBER OR OFFICER, WHO HAS AN INTEREST MUST IMMEDIATELY DISCLOSE TO THE PRESIDENT AND CEO, THE EXISTENCE AND NATURE OF HIS OR HER INTEREST IN THE PROPOSED NEGOTIATION, TRANSACTION OR ARRANGEMENT BETWEEN ABBOTT HOUSE AND ANOTHER INDIVIDUAL OR ORGANIZATION. RECORDS OF SUCH DISCLOSURE SHALL INCLUDE THE NAME OF THE INTERESTED PERSON, THE NATURE OF THE INTEREST, A RECORD OF ANY DETERMINATION MADE BY THE PRESIDENT AND CEO. FOR BOARD MEMBERS AND OFFICERS, THE RECORD WILL ALSO INCLUDE ANY

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DETERMINATION MADE BY THE BOARD AND THE NAMES OF PERSONS WHO WERE PRESENT FOR THE DISCUSSIONS AND ANY VOTES.

AN INTERESTED PERSON MAY NOT PARTICIPATE IN THE PROPOSED NEGOTIATION, TRANSACTION OR ARRANGEMENT.

FORM 990, PART VI, SECTION B, LINE 15:

THE SALARIES FOR THE PRESIDENT AND CHIEF EXECUTIVE OFFICER ARE DETERMINED BY A RECOMMENDATION FROM THE CHAIRMAN OF THE BOARD. THE PROCEDURES THAT THE CHAIRMAN FOLLOWS ARE:

- REVIEWS THE PERFORMANCE OF THE CHIEF EXECUTIVE AS MEASURED AGAINST PREVIOUSLY AGREED OBJECTIVES

- GATHERS INFORMATION ON COMPENSATION PAID TO CHIEF EXECUTIVES OF COMPARABLE ORGANIZATIONS AND FROM AN INDEPENDENT STUDY OF CEO COMPENSATION COMMISSIONED BY COFCCA TO ENSURE COMPLIANCE WITH EXECUTIVE ORDER 38.

- MEETS WITH THE CHIEF EXECUTIVE TO DISCUSS AND DOCUMENT STRENGTHS, WEAKNESSES AND GOALS FOR THE UPCOMING YEAR.

ONCE THESE STEPS HAVE BEEN COMPLETED, THE CHAIRMAN OF THE BOARD PRESENTS HIS OR HER FINDINGS AND RECOMMENDATIONS TO THE EXECUTIVE COMMITTEE AND THEN PRESENTS THE COMPENSATION PROPOSAL TO THE BOARD OF DIRECTORS IN AN EXECUTIVE SESSION. THE BOARD'S APPROVAL IS DOCUMENTED IN THE MINUTES TO THE MEETING.

THE SALARIES FOR THE OTHER OFFICERS AND KEY EMPLOYEES ARE DETERMINED BY THE CHIEF EXECUTIVE OFFICER. THE PROCEDURES THAT THE CEO FOLLOWS ARE:

- REVIEWS THE PERFORMANCE OF THE OFFICERS AND KEY EMPLOYEES AS MEASURED AGAINST PREVIOUSLY AGREED OBJECTIVES

- GATHERS INFORMATION ON COMPENSATION PAID TO OFFICERS AND KEY EMPLOYEES OF

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COMPARABLE ORGANIZATIONS

- MEETS WITH THE OFFICERS AND KEY EMPLOYEES TO DISCUSS AND DOCUMENT

STRENGTHS, WEAKNESSES AND GOALS FOR THE UPCOMING YEAR.

ONCE THESE STEPS HAVE BEEN COMPLETED, THE CEO THEN MAKES THE DETERMINATION

AS TO THE OFFICERS AND KEY EMPLOYEES SALARY.

FORM 990, PART VI, SECTION C, LINE 19:

THE ORGANIZATION MAKES ITS FORM 990 AVAILABLE FOR PUBLIC INSPECTION AS
 REQUIRED UNDER SECTION 6104 OF THE INTERNAL REVENUE CODE. THE RETURN IS
 POSTED ON GUIDESTAR.ORG AND OTHER SIMILAR TYPES OF WEBSITES. IN ADDITION,
 THE FINANCIAL STATEMENTS, CONFLICT OF INTEREST POLICY, ARTICLES OF
 INCORPORATION AND BY-LAWS ARE ALSO AVAILABLE UPON WRITTEN REQUEST AT 100
 NORTH BROADWAY, IRVINGTON, NY 10533... OR BY CALLING THE ORGANIZATION
 DIRECTLY AT (914)591-7300.

FORM 990, PART VII, EXPLANATION OF PAYMENT TO SECRETARY

BOARD MEMBERS LORRAINE BULLIS AND MARGARET MILLMAN RECEIVE NO
 COMPENSATION IN THEIR CAPACITY AS SECRETARY. THEY RECEIVE COMPENSATION
 IN THEIR CAPACITY AS EXECUTIVE ASSISTANTS TO ABBOTT HOUSE.

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

PENSION LIABILITY ADJUSTMENT	-3,640,685.
PENSION PENALTIES AND INTEREST	-822,916.
TOTAL TO FORM 990, PART XI, LINE 9	-4,463,601.

FORM 990, PART XII, LINE 2C:

THE ORGANIZATION HAS A COMMITTEE THAT ASSUMES RESPONSIBILITY FOR

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OVERSIGHT OF THE AUDIT OF ITS FINANCIAL STATEMENTS AND SELECTION OF AN INDEPENDENT ACCOUNTANT. THIS PROCESS DID NOT CHANGE FROM THE PRIOR YEAR.

Abbott House

Financial Statements

June 30, 2016 and 2015



Independent Auditors' Report

Board of Directors Abbott House

We have audited the accompanying financial statements of Abbott House, which comprise the statements of financial position as of June 30, 2016 and 2015, and the related statements of activities, functional expenses, and cash flows for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Abbott House as of June 30, 2016 and 2015, and the changes in its net assets (deficit) and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

At June 30, 2016, Abbott House has an unrestricted net asset deficit of approximately \$12.5 million which has resulted predominately from its defined-benefit pension plan (the "Plan") being underfunded by approximately \$13.5 million at June 30, 2016. In anticipation of the Plan filing for a distress termination with the Pension Benefit Guaranty Corporation ("PBGC"), Abbott House voluntarily ceased making payments to the Plan as of October 15, 2013. In October of 2014, the Plan's application for distress termination was filed with the PBGC. As a result of these non-payments, the PBGC has placed liens on all property and rights to property of Abbott House in the amount of approximately \$4.1 million as of October 27, 2016. The final decision from the PBGC has not been determined as of the date of this report. Our opinion is not modified with respect to this matter.

Report on Supplementary Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplementary information on pages 22 and 23 is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

PKF O'Connor Davies, LLP

Harrison, New York
October 28, 2016

Abbott House

Statements of Financial Position

	June 30,	
	2016	2015
ASSETS		
Current Assets		
Cash and cash equivalents	\$ 3,716,850	\$ 3,125,333
Accounts Receivable		
Government agencies, net	4,743,406	5,098,972
Other	15,199	25,444
Prepaid expenses and other current assets	360,958	329,548
Total Current Assets	8,836,413	8,579,297
Investments	2,539,534	2,527,845
Other assets	249,504	293,224
Property, plant and equipment, net	4,242,105	4,356,484
	\$ 15,867,556	\$ 15,756,850
LIABILITIES AND NET ASSETS (DEFICIT)		
Current Liabilities		
Mortgages and other notes payable	\$ 3,872,467	\$ 530,204
Accounts payable and accrued expenses	3,105,914	3,638,791
Custodial funds	164,185	130,629
Deferred revenue	48,000	48,000
Accrued vacation and salaries	3,187,656	2,376,960
Total Current Liabilities	10,378,222	6,724,584
Mortgages and other notes payable, net of current portion	2,359,187	6,179,445
Deferred revenue, net of current portion	1,008,997	1,054,104
Accrued pension cost	13,467,395	9,404,564
Other liabilities	883,467	981,193
Total Liabilities	28,097,268	24,343,890
Net Assets (Deficit)		
Unrestricted	(12,473,469)	(8,899,477)
Temporarily restricted	178,007	246,687
Permanently restricted	65,750	65,750
Total Net Assets (Deficit)	(12,229,712)	(8,587,040)
	\$ 15,867,556	\$ 15,756,850

See notes to financial statements

Abbott House
Statements of Activities

	Year Ended June 30, 2018				Year Ended June 30, 2015			
	Unrestricted	Temporarily Restricted	Permanently Restricted	Total	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
PUBLIC SUPPORT AND REVENUE								
Governmental agencies	\$ 46,040,395	\$ -	\$ -	\$ 46,040,395	\$ 46,134,000	\$ -	\$ -	\$ 46,134,000
Contributions	225,814	16,940	-	242,754	258,990	23,944	-	282,934
Rental income	84,334	-	-	84,334	94,943	-	-	94,943
Other revenue	276,022	-	-	276,022	151,120	-	-	151,120
Net assets released from restrictions	85,620	(85,620)	-	-	7,405	(7,405)	-	-
Total Public Support and Revenue	<u>46,712,185</u>	<u>(68,680)</u>	<u>-</u>	<u>46,643,505</u>	<u>46,646,468</u>	<u>16,539</u>	<u>-</u>	<u>46,662,997</u>
EXPENSES								
Program services	41,619,692	-	-	41,619,692	41,940,438	-	-	41,940,438
Management and general	3,924,178	-	-	3,924,178	3,575,629	-	-	3,575,629
Fundraising	313,083	-	-	313,083	339,155	-	-	339,155
Total Expenses	<u>45,856,953</u>	<u>-</u>	<u>-</u>	<u>45,856,953</u>	<u>45,855,222</u>	<u>-</u>	<u>-</u>	<u>45,855,222</u>
Excess (Deficit) of Public Support and Revenue over Expenses	<u>855,232</u>	<u>(68,680)</u>	<u>-</u>	<u>786,552</u>	<u>791,236</u>	<u>16,539</u>	<u>-</u>	<u>807,775</u>
NON-OPERATING ACTIVITIES								
Interest and dividends	76,020	-	-	76,020	67,144	-	-	67,144
Realized and unrealized (loss) gain on investments	(41,643)	-	-	(41,643)	21,576	-	-	21,576
Total Non-Operating Activities	<u>34,377</u>	<u>-</u>	<u>-</u>	<u>34,377</u>	<u>88,720</u>	<u>-</u>	<u>-</u>	<u>88,720</u>
OTHER CHANGES								
Pension penalties and interest	(822,916)	-	-	(822,916)	-	-	-	-
Pension liability adjustment	(3,640,685)	-	-	(3,640,685)	(663,957)	-	-	(663,957)
Change in Net Assets (Deficit)	<u>(3,573,992)</u>	<u>(68,680)</u>	<u>-</u>	<u>(3,642,672)</u>	<u>215,999</u>	<u>16,539</u>	<u>-</u>	<u>232,538</u>
NET ASSETS (DEFICIT)								
Beginning of year	<u>(8,899,477)</u>	<u>246,667</u>	<u>65,750</u>	<u>(8,587,040)</u>	<u>(9,115,476)</u>	<u>230,148</u>	<u>65,750</u>	<u>(8,819,578)</u>
End of year	<u>\$ (12,473,469)</u>	<u>\$ 178,007</u>	<u>\$ 65,750</u>	<u>\$ (12,229,712)</u>	<u>\$ (8,899,477)</u>	<u>\$ 246,667</u>	<u>\$ 65,750</u>	<u>\$ (8,587,040)</u>

See notes to financial statements

Abbott House

Statement of Functional Expenses

	Year Ended June 30, 2016			
	Program Services	Management and General	Fundraising	Total
PERSONNEL				
Employee salaries	\$ 22,294,885	\$ 2,127,824	\$ 158,828	\$ 24,581,537
Employee fringe benefits	5,203,528	496,625	37,070	5,737,223
Total Personnel Costs	27,498,413	2,624,449	195,898	30,318,760
OTHER THAN PERSONNEL COSTS				
Transportation and workers' expense	340,622	51,369	35	392,026
Allowances, children	30,924	-	-	30,924
Activities, children	218,871	75	-	218,946
Purchase of services	965,972	163,071	7,304	1,136,347
Food and clothing	601,687	3,148	280	605,115
Program and household supplies	1,281,801	124,018	1,325	1,407,144
Rent	1,514,314	24,962	-	1,539,276
Utilities and telephone	990,935	103,105	652	1,094,692
Vehicles, fuel, repairs and maintenance	1,064,778	41,085	-	1,105,863
Office and medical supplies	381,711	129,857	107,589	619,157
Professional fees	181,863	208,129	-	389,992
Insurance	725,829	320,149	-	1,045,978
Interest	375,693	27,145	-	402,838
Taxes and facility tax	48,800	9,543	-	58,343
Bad debt expense	-	82,276	-	82,276
Depreciation and amortization	507,488	11,797	-	519,285
Total Expenses Before Foster-Parent Expenses	36,729,701	3,924,178	313,083	40,966,962
FOSTER-PARENT EXPENSES				
Foster-parent payments and stipends	4,889,991	-	-	4,889,991
Total Expenses	\$ 41,619,692	\$ 3,924,178	\$ 313,083	\$ 45,856,953

See notes to financial statements

Abbott House

Statement of Functional Expenses

	Year Ended June 30, 2015			
	Program Services	Management and General	Fundraising	Total
PERSONNEL				
Employee salaries	\$ 22,762,548	\$ 2,019,308	\$ 187,550	\$ 24,969,406
Employee fringe benefits	5,053,691	448,321	41,639	5,543,651
Total Personnel Costs	<u>27,816,239</u>	<u>2,467,629</u>	<u>229,189</u>	<u>30,513,057</u>
OTHER THAN PERSONNEL COSTS				
Transportation and workers' expense	355,265	13,504	56	368,825
Allowances, children	53,370	-	-	53,370
Activities, children	124,162	-	-	124,162
Tutoring, children	119,488	-	-	119,488
Purchase of services	622,570	153,620	9,375	785,565
Food and clothing	670,309	8,855	-	679,164
Program and household supplies	1,124,268	137,901	1,356	1,263,525
Rent	1,296,630	22,042	-	1,318,672
Utilities and telephone	1,040,916	87,206	-	1,128,122
Vehicles, fuel, repairs and maintenance	1,176,997	66,312	-	1,243,309
Office and medical supplies	546,918	13,445	99,179	659,542
Professional fees	307,280	170,181	-	477,461
Insurance	693,795	166,999	-	860,794
Interest	342,094	22,160	-	364,254
Taxes and facility tax	113,558	9,100	-	122,658
Bad debt expense	-	210,177	-	210,177
Depreciation and amortization	583,171	26,498	-	609,669
Total Expenses Before Foster-Parent Expenses	<u>36,987,030</u>	<u>3,575,629</u>	<u>339,155</u>	<u>40,901,814</u>
FOSTER-PARENT EXPENSES				
Foster-parent payments and stipends	<u>4,953,408</u>	-	-	<u>4,953,408</u>
Total Expenses	<u>\$ 41,940,438</u>	<u>\$ 3,575,629</u>	<u>\$ 339,155</u>	<u>\$ 45,855,222</u>

See notes to financial statements

Abbott House

Statements of Cash Flows

	Year Ended June 30,	
	2016	2015
CASH FLOWS FROM OPERATING ACTIVITIES		
Change in net assets (deficit)	\$ (3,642,672)	\$ 232,538
Adjustments to reconcile change in net assets (deficit) to net cash from operating activities		
Depreciation and amortization	519,285	609,669
Realized and unrealized loss (gain) on investments	41,643	(21,576)
Bad debt expense	82,276	210,177
Pension liability adjustment	3,640,685	663,957
Changes in operating assets and liabilities		
Accounts receivable, government agencies	273,290	244,365
Accounts receivable, other	10,245	(4,430)
Prepaid expenses and other assets	12,310	246,262
Accounts payable and accrued liabilities	(532,877)	1,085,624
Custodial funds	33,556	4,677
Deferred revenue	(45,107)	(48,000)
Accrued pension cost	422,146	238,092
Other liabilities	(97,726)	67,950
Accrued vacation and salaries	810,696	107,123
Net Cash from Operating Activities	1,527,750	3,636,428
CASH FLOWS FROM INVESTING ACTIVITIES		
Net activity from purchase and sale of investments	(53,332)	(43,968)
Purchase of equipment	(404,906)	-
Net Cash from Investing Activities	(458,238)	(43,968)
CASH FLOWS FROM FINANCING ACTIVITIES		
Payments of mortgages and other notes payable	(713,359)	(663,091)
Repayments on line of credit	-	(400,000)
Proceeds from other notes payable	235,364	-
Net Cash from Financing Activities	(477,995)	(1,063,091)
Net Change in Cash and Cash Equivalents	591,517	2,529,369
CASH AND CASH EQUIVALENTS		
Beginning of year	3,125,333	595,964
End of year	\$ 3,716,850	\$ 3,125,333
SUPPLEMENTAL CASH FLOW INFORMATION		
Cash paid for interest	\$ 402,838	\$ 364,254

See notes to financial statements

Abbott House

Notes to Financial Statements June 30, 2016 and 2015

1. Organization

Abbott House (the "Agency") is a not-for-profit organization which provides care for abandoned, neglected, abused, and developmentally disabled children and adults through family foster care, group homes and various in-home and preventive family services, in association with programs of New York City and other local jurisdictions, as well as with the New York State Office for Persons with Developmental Disabilities ("OPWDD"), Office of Mental Health ("OMH") and the Department of Health and Human Services for its federally funded program: the unaccompanied alien children program.

The Agency is qualified as a tax exempt organization under Section 501(c)(3) of the Internal Revenue Code and, accordingly, is not subject to Federal income taxes. The Internal Revenue Service has classified the Agency as an organization that is not a private foundation as defined in Section 509(a) of the Internal Revenue Code.

2. Summary of Significant Accounting Policies

Basis of Presentation and Use of Estimates

The financial statements have been prepared in conformity with accounting principles generally accepted in the United States of America ("U.S. GAAP"), which requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from those estimates.

Net assets, revenues, gains and losses are classified based on the existence or absence of donor-imposed restrictions. Unrestricted amounts are those currently available at the discretion of the Agency for use in its operations. Temporarily restricted net assets are those subject to donor imposed restriction that will expire through passage of time or actions of the Agency. When a restriction expires, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statements of activities as net assets released from restrictions. Permanently restricted net assets are those which are received through donor gifts to be maintained in perpetuity by the Agency.

Operating Measure

The Agency has elected to present an operating measure in its statements of activities. Accordingly, items affecting operations are segregated from those not affecting operations. All investment activity and other changes are treated as non-operating.

Cash and Cash Equivalents

The Agency considers all highly liquid financial instruments with a maturity of three months or less at the time of purchase to be cash equivalents, with the exception of money-market funds, which are held as part of the investment portfolio.

Abbott House

Notes to Financial Statements June 30, 2016 and 2015

2. Summary of Significant Accounting Policies (continued)

Allowance for Doubtful Accounts

An allowance for doubtful accounts is estimated based on a combination of write-off history, aging analysis and specific known troubled accounts.

Fair Value Measurements

The Agency follows U.S. GAAP guidance on fair value measurements which defines fair value and establishes a fair value hierarchy organized into three levels based upon the input assumptions used in pricing assets. Level 1 inputs have the highest reliability and are related to assets with unadjusted quoted prices in active markets. Level 2 inputs relate to assets with other than quoted prices in active markets which may include quoted prices for similar assets or liabilities or other inputs which can be corroborated by observable market data. Level 3 inputs are unobservable inputs and are used to the extent that observable inputs do not exist.

Investments

Investments are carried at fair value. Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis and dividends are recorded on the ex-dividend date. Realized and unrealized gains and losses are included in the determination of the change in net assets.

Property, Plant and Equipment

Property, plant and equipment are stated at cost at the date of acquisition. Depreciation is provided using the straight-line method over the estimated useful lives of the respective assets, generally ranging from three to forty years. Leasehold improvements are capitalized and amortized using the straight-line method over the lesser of the term of the lease, or the estimated useful life of the improvement.

Impairment of Long-Lived Assets

Long-lived assets, such as property and equipment, are reviewed for impairment whenever events or changes in circumstances indicate that the carrying amount of an asset may not be recoverable. The Agency records impairment losses on long-lived assets used in operations when the undiscounted cash flows estimated to be generated by those assets are less than the carrying amounts of those assets. There were no impairment charges recognized for the years ended June 30, 2016 and 2015.

Accrued Vacation

The Agency's obligation for accrued vacation is reported as an expense and liability in the accompanying financial statements and represents the cost of unused employee vacation time payable in the event of employee terminations. At June 30, 2016 and 2015, accrued vacation obligations were \$908,930 and \$1,042,510.

Abbott House

Notes to Financial Statements June 30, 2016 and 2015

2. Summary of Significant Accounting Policies (continued)

Revenue Recognition

Contributions are recorded as revenue upon the earlier of the receipt of cash or other assets or the receipt of unconditional pledges. Contributions are considered available for unrestricted use unless specifically restricted by the donor. The Agency records contributions as temporarily or permanently restricted if they are received with donor stipulations that limit their use through either purpose or time restrictions.

Revenue from government contracts and grants is recognized when costs are incurred or other services are performed and requisitions for reimbursement are submitted. The asset "accounts receivable, governmental agencies" represents revenues earned and either billed or unbilled pursuant to the terms of the contracts or grants.

Rental income is recognized as it accrues in accordance with the terms of the related leases. Advanced receipts of rental income are deferred and classified as liabilities until earned or recouped.

Functional Allocation of Expenses

Directly identifiable expenses are charged to programs and supporting services. Expenses related to more than one function are charged to programs and supporting services on the basis of periodic time and expense studies. Management and general expenses include those expenses that are not directly identifiable with any other specific function but provide for the overall support and direction of the Agency.

Reclassifications

Certain amounts in the prior year's financial statements have been reclassified to conform to the presentation in the current year's financial statements. These reclassifications had no effect on previously reported change in net assets (deficit).

Income Taxes

The Agency recognizes the effect of income tax positions only if those positions are more likely than not to be sustained. Management has determined that the Agency had no uncertain tax positions that would require financial statement recognition or disclosure. The Agency is no longer subject to examinations by applicable taxing jurisdictions for fiscal periods prior to June 30, 2013.

Subsequent Events Evaluation by Management

Management has evaluated subsequent events for disclosure and/or recognition in the financial statements through the date that the financial statements were available to be issued, which date is October 28, 2016.

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Notes to Financial Statements June 30, 2016 and 2015

3. Concentration of Credit Risk

The financial instruments that potentially subject the Agency to concentration of credit risk consist primarily of cash and cash equivalents in banks and other major financial institutions that exceed the Federal Deposit Insurance Corporation ("FDIC") limit, accounts receivable that are expected to be collected in the normal course of business, and the investment portfolio, which is diversified by type of investment and industry concentrations so that no individual investment or group of investments represents a significant concentration of credit risk.

For the years ended June 30, 2016 and 2015 approximately 53% of program revenue was received from the New York State Medicaid Program.

4. Accounts Receivable - Government Agencies

The Agency provides program services which are covered under various third-party payor agreements. Receivables for these services consist of the following at June 30:

	2016	2015
New York State Medicaid	\$ 1,254,926	\$ 1,177,293
New York State agencies	1,499,652	1,445,427
New York City agencies	1,517,981	1,497,199
New York counties - other	870,206	833,517
Federal grant	-	462,214
Other	100,641	183,322
	<u>5,243,406</u>	<u>5,598,972</u>
Allowance for doubtful accounts	<u>(500,000)</u>	<u>(500,000)</u>
	<u>\$ 4,743,406</u>	<u>\$ 5,098,972</u>

5. Property, Plant and Equipment

Property, plant and equipment consist of the following at June 30:

	2016	2015
Land	\$ 1,697,474	\$ 1,697,474
Buildings and improvements	10,404,915	10,342,415
Vehicles	1,413,781	1,319,327
Equipment and software	2,264,444	2,308,978
Leasehold improvements	1,095,911	1,081,611
	<u>16,876,525</u>	<u>16,749,805</u>
Accumulated depreciation and amortization	<u>(12,634,420)</u>	<u>(12,393,321)</u>
	<u>\$ 4,242,105</u>	<u>\$ 4,356,484</u>

Abbott House

Notes to Financial Statements June 30, 2016 and 2015

6. Investments

The Agency maintains an investment pool for its long-term investments which includes the Board Restricted Investment Fund and the endowment funds. The pool is managed to achieve the maximum prudent long-term return. The Agency's Board of Directors must authorize when the principal and investment income from the Board Restricted Investment Fund may be used for operations. The principal of the endowment funds are permanently restricted and investment income generated from them may only be used for educational purposes.

The Agency's investments as of June 30, categorized by the fair value hierarchy for those investments valued at fair value are as follows:

	2016		2015	
	Cost	Fair Value	Cost	Fair Value
Level 1 Inputs				
Equity securities	\$ 908,595	\$ 1,913,060	\$ 1,732,825	\$ 1,905,926
Mutual funds - equities	<u>435,351</u>	<u>445,114</u>	<u>402,461</u>	<u>398,196</u>
	1,343,946	2,358,174	2,135,286	2,304,122
Cash	<u>181,360</u>	<u>181,360</u>	<u>223,723</u>	<u>223,723</u>
	<u>\$ 1,525,306</u>	<u>\$ 2,539,534</u>	<u>\$ 2,359,009</u>	<u>\$ 2,527,845</u>

Net investment income consists of the following for the years ended June 30:

	2016	2015
Interest and dividends	\$ 76,020	\$ 67,144
Net realized gain on sale of investments	395	32,988
Net unrealized loss on investments	<u>(42,038)</u>	<u>(11,412)</u>
	<u>\$ 34,377</u>	<u>\$ 88,720</u>

7. Mortgages, Line of Credit and Other Notes Payable

Mortgages, line of credit and other notes payable consist of the following at June 30:

	2016	2015
Mortgage notes payable, OPWDD and OMH (a)	\$ 136,676	\$ 229,215
Mortgage notes payable, bank (b)	5,901,439	6,463,370
Vehicle and equipment loans, due in varying installments through August 2020, bearing interest from 3.2% to 6.99% (c)	193,539	17,064
Line of credit (d)	<u>-</u>	<u>-</u>
	6,231,654	6,709,649
Current portion	<u>(3,872,467)</u>	<u>(530,204)</u>
	<u>\$ 2,359,187</u>	<u>\$ 6,179,445</u>

Abbott House

Notes to Financial Statements June 30, 2016 and 2015

7. Mortgages, Line of Credit and Other Notes Payable (*continued*)

- (a) These obligations consist of three nonrecourse mortgage notes maturing in 2017. They bear interest at rates ranging from 5.4% to 9.1%, per annum and require semi-annual debt-service payments ranging from \$17,479 to \$21,552, including interest. The notes are payable to OPWDD and OMH for the purchase of property in connection with mental-health programs and are collateralized by those properties.
- (b) These obligations consist of a term loan and 12 mortgage notes payable in monthly installments, bearing interest at rates ranging from 2.43% to 7.72%, per annum through 2020. The term loan was scheduled to mature on September 30, 2016. Subsequent to year end, the bank extended the maturity date of this note to March 30, 2017. Monthly principal and interest payments bearing interest at a rate per year equal to the LIBOR three month or six month fixed rate plus 300 basis points (currently 3.40%) remain in effect. The term loan requires a balloon payment of \$3,600,000 at the maturity date. The term loan is secured by a pledge on all assets and a first priority mortgage on real property located at 100 North Broadway, Irvington, New York. The mortgage notes are payable to a bank for the purchase and renovation of property in connection with mental-health programs and are collateralized by those properties.

The Agency entered into several interest rate swap agreements ("Swaps") with a major financial institution ("Counter-party") for certain mortgages on Agency owned properties. Under these agreements, the Agency has fixed the interest rates on five floating rate mortgages. The terms of these Swaps require the Agency to pay a fixed rate of interest ranging from 7.05% to 7.72%, per annum on outstanding loan balances, with principal amortizing at the same fixed rates over the terms of the loans and Swaps. The Counter-party makes payments to the mortgage note holder at a floating rate of interest based on LIBOR with payments being calculated on a notional amount which, throughout the life of the Swap, mirrors the balance of the outstanding debt.

The difference of the Swap fixed and floating rate of interest is settled on a monthly basis. The notional amount of the Swap (and outstanding principal balance of the mortgage note) was \$932,318 and \$1,058,457 at June 30, 2016 and 2015. The fair value of the Swap agreement at June 30, 2016 and 2015, was a liability of approximately \$118,900 and \$135,000 in favor of the bank valued utilizing level 2 inputs. While U.S. GAAP requires recognition of the fair value of this Swap, management has not recorded this liability as they consider it to be immaterial and intend to hold the Swap through its maturity date at which time the fair value of the Swap is expected to be zero.

- (c) These obligations are collateralized by various vehicles.

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Notes to Financial Statements June 30, 2016 and 2015

7. Mortgages, Line of Credit and Other Notes Payable *(continued)*

- (d) The line-of-credit and overdraft line limit bear per annum interest rates equal to the LIBOR daily floating rate plus 2.25% and LIBOR daily floating rate plus 2.50%. The line-of-credit and overdraft line limit require monthly interest payments with the entire outstanding principal balance due 364 days after closing. The line-of-credit is secured by a pledge on all assets and a second priority mortgage on real property located at 100 North Broadway, Irvington, New York.

The annual principal payments are as follows for the years ending June 30:

2017	\$ 3,940,954
2018	645,980
2019	934,969
2020	675,280
2021	34,471
	<u>\$ 6,231,654</u>

Interest expense on the above notes for 2016 and 2015, aggregated approximately \$403,000 and \$364,000.

8. Conveyance of Property

In July 2005, the Agency entered into an agreement with an unrelated party to provide a communication easement and an access to utility, of a portion of its rooftop property. The conveyance provides for easement income of approximately \$1,200,000 for a period not to exceed 25 years. At June 30, 2016 and 2015, deferred revenue reported in the accompanying statements of financial position included \$672,000 and \$720,000, related to this agreement which represented easement fees received in advance, but not yet earned.

9. Pension Plan

The Agency has a defined-benefit pension plan (the "Plan") covering all of the eligible employees who elect to participate. Employees who participate in the Plan are required to make minimum contributions as defined by the Plan. Plan assets are held in an investment account administered by a Third Party Administrator. In accordance with the provisions of the Plan, three directors of the Agency serve as trustees empowered to invest and reinvest the Plan's assets in accordance with the funding policy established by the Board of Directors of the Agency. Effective September 30, 2009, the Plan was frozen. Participants as of that date no longer receive future service credits. Employees who did not meet the eligibility requirements as of that date will not be eligible in the future. It is the policy of the Agency annually to fund normal pension cost, as determined on an actuarial basis.

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Notes to Financial Statements
June 30, 2016 and 2015

9. Pension Plan (continued)

In October 2014, the Plan filed for a distress termination with the Pension Benefit Guaranty Corporation ("PBGC"). The final decision from the PBGC has not been determined. The Agency did not make its scheduled October 15, 2013 contribution to the Plan nor has the Agency made any contributions subsequent to that date.

The following table sets forth the Plan's funded status and other pension-related amounts and assumptions for the years ended June 30:

	Pension Benefits	
	2016	2015
Projected benefit obligation at June 30	\$ 27,515,927	\$ 24,296,098
Fair value of plan assets at June 30	14,048,532	14,891,534
Funded status	\$ (13,467,395)	\$ (9,404,564)
Accumulated benefit obligation	\$ 27,515,927	\$ 24,296,098
Net periodic cost recognized in statement of activities	418,729	241,084
Amortization of amounts previously not recognized as a component of net periodic cost	-	-
Accrued pension cost recognized in statement of financial position	13,467,395	9,404,564
Employer contributions to plan during the year	-	-
Employee contributions to plan during the year	-	-
Benefits paid during the year	914,952	784,174
	2016	2015
Assumptions:		
Discount rate	3.94%	4.69%
Expected long-term return on plan assets	8.50%	8.50%
Rate of compensation increase	0.00%	0.00%

The table above reflects the amounts recognized as changes in unrestricted net assets arising from the Plan at June 30, 2016 and 2015 that have not yet been recognized in net periodic pension cost. The actuarial loss expected to be amortized in 2017 is estimated to be \$525,000. There was no unrecognized prior service cost.

Plan investments are made for the purpose of providing retirement reserves for the present and future benefit of participants of the Plan. The assets will be invested with the care, skill and diligence that a prudent person acting in this capacity would exercise to comply with all objectives outlined herein, the Investment Advisors Act of 1940, the Employee Retirement Income Security Act of 1974 ("ERISA") and all other governing statutes.

Abbott House

Notes to Financial Statements June 30, 2016 and 2015

9. Pension Plan *(continued)*

The benchmark investment objective will be to minimally achieve an ongoing annual average return of 8.5% or better among all the pension assets. This is the actuarial objective the Agency must achieve to meet its long-term pension obligations.

The primary objective for the Agency Board of Directors will be to provide a balance among capital appreciation, preservation of capital and the production of current income. The objectives of the Plan will be pursued as a long-term goal designed to meet the benchmark objective for the Plan without undue risk.

The Agency Board of Directors recognizes that risk (i.e., the uncertainty of future events), volatility (i.e., the potential for variability of asset values) and the possibility of loss in purchasing power (due to inflation) are present to some degree in all types of investment vehicles. While high levels of risk are to be avoided, the assumption of risk is warranted in order to allow the investment manager the opportunity to achieve satisfactory long-term results consistent with the objective of the Plan.

The following table shows estimated future benefit distributions expected to be paid from the Plan for each of the years in the period ending June 30, and for the five years thereafter in the aggregate:

2017	\$ 1,136,500
2018	1,117,559
2019	1,131,234
2020	1,175,034
2021	1,196,743
2022 to 2026	6,553,908

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Notes to Financial Statements
June 30, 2016 and 2015

9. Pension Plan (continued)

The Agency's pension plan assets are investments in collective trusts composed of domestic and international fixed income, stocks, bonds, equity mutual funds and cash. The Agency's pension plan assets at June 30, 2016 and 2015 were valued using Level 2 inputs with in the fair value hierarchy.

The fair values of the investments comprising the collective trusts' assets at June 30 are as follows:

	<u>2016</u>	<u>2015</u>
Stocks	\$ 7,091,896	\$ 4,780,100
Hedge funds	1,385,613	-
Real estate	787,294	-
Mutual funds	-	6,128,732
Exchange trade funds	-	806,652
Corporate bonds	4,465,004	1,979,763
Treasury-agency bonds	-	750,771
Cash and cash equivalents	318,725	445,516
	<u>\$14,048,532</u>	<u>\$ 14,891,534</u>

10. Temporarily Restricted Net Assets

Temporarily restricted net assets are available for the following purposes at June 30:

	<u>2016</u>	<u>2015</u>
Mentoring and training	\$ 25,396	\$ 28,786
Preventive services	14,900	14,900
Property and equipment	15,058	15,058
Other program services	122,653	187,943
	<u>\$ 178,007</u>	<u>\$ 246,687</u>

Net assets released by satisfying the time or purpose restrictions for the years ended June 30, specified by donors were as follows:

	<u>2016</u>	<u>2015</u>
Mentoring and training	\$ 3,390	\$ -
Other program services	82,230	7,405
	<u>\$ 85,620</u>	<u>\$ 7,405</u>

Abbott House

Notes to Financial Statements June 30, 2016 and 2015

11. Permanently Restricted Net Assets

Permanently restricted net assets consist of the historical value of contributions which require the corpus to be invested in perpetuity to produce income for educational purposes

12. Accounting and Reporting for Endowments

The Endowments

The Agency's endowment consists of individual funds established for a variety of purposes which consist of both donor-restricted endowment funds and funds designated by the Board of Directors to function as an endowment.

Interpretation of Relevant Law

During 2010, New York State adopted the Uniform Prudent Management of Institutional Funds Act ("NYPMIFA"). NYPMIFA includes provisions that differ from previous law, including elimination of the historic dollar value with respect to endowment spending, establishment of new standards governing the expenditure and modification of restrictions on endowment funds, and revision of the prudence standard for the management and investment of endowment funds.

In accordance with the adoption of NYPMIFA, the Agency reviewed all of its endowment funds and determined that a net asset reclassification was not necessary.

The Board of Directors of the Agency has interpreted the NYPMIFA as requiring the preservation of the fair value of the original gift as of the gift date of the donor-restricted endowment funds absent explicit donor stipulations to the contrary. As a result of this interpretation, the Agency classifies as permanently restricted net assets (a) the original value of gifts donated to the permanent endowment, (b) the original value of subsequent gifts to the permanent endowment, and (c) accumulations to the permanent endowment made in accordance with the direction of the applicable donor gift instrument at the time the accumulation is added to the fund. The remaining portion of the donor-restricted endowment fund that is not classified in permanently restricted net assets is classified as temporarily restricted net assets until those amounts are appropriated for expenditure by the Agency in a manner consistent with the standard of prudence prescribed by NYPMIFA.

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Notes to Financial Statements
June 30, 2016 and 2015

12. Accounting and Reporting for Endowments (continued)

Endowment Investment Composition by Type of Fund

	June 30, 2016			Total
	Unrestricted	Temporarily Restricted	Permanently Restricted	
Donor-restricted endowment funds	\$ -	\$ 18,660	\$ 65,750	\$ 84,410
Board-designated endowment funds	2,455,124	-	-	2,455,124
Total funds	\$ 2,455,124	\$ 18,660	\$ 65,750	\$ 2,539,534

	June 30, 2015			Total
	Unrestricted	Temporarily Restricted	Permanently Restricted	
Donor-restricted endowment funds	\$ -	\$ 18,660	\$ 65,750	\$ 84,410
Board-designated endowment funds	2,443,435	-	-	2,443,435
Total funds	\$ 2,443,435	\$ 18,660	\$ 65,750	\$ 2,527,845

Changes in Endowment Investments

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Endowment net assets, June 30, 2014	\$ 2,377,891	\$ 18,660	\$ 65,750	\$ 2,462,301
Interest and dividends	66,450	-	-	66,450
Net appreciation (realized and unrealized)	21,576	-	-	21,576
Investment fees	(22,482)	-	-	(22,482)
Endowment investments, June 30, 2015	2,443,435	18,660	65,750	2,527,845
Interest and dividends	75,589	-	-	75,589
Net appreciation (realized and unrealized)	(41,643)	-	-	(41,643)
Investment fees	(22,257)	-	-	(22,257)
Endowment investments, June 30, 2016	\$ 2,455,124	\$ 18,660	\$ 65,750	\$ 2,539,534

Abbott House

**Notes to Financial Statements
June 30, 2016 and 2015**

12. Accounting and Reporting for Endowments (continued)

Return Objectives and Risk Parameters

The Agency has adopted investment and spending policies for endowment assets that attempt to increase the value of the endowment and only to use it for educational purposes with Board of Directors consent. Endowment assets include those assets of donor-restricted funds that the Agency must hold in perpetuity or for a donor-specified period(s) as well as board-designated funds. Under this policy, as approved by the Board of Directors, the endowment assets are invested in a manner that is intended to produce results that exceed the price and yield results of the S&P 500 index while assuming a moderate level of investment risk. The Agency expects its endowment funds, over time, to provide an average rate of return of approximately 6 percent annually. Actual returns in any given year may vary from this amount.

Strategies Employed for Achieving Objectives

To satisfy its long-term rate-of-return objectives, the Agency relies on a total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends). The Agency targets a diversified asset allocation that places a greater emphasis on equity-based investments to achieve its long-term return objectives within prudent risk constraints.

Spending Policy

The overall goal for the Agency's Board Restricted Investment Fund is to preserve the real (inflation adjusted) capital base and, over time, to cause the total value of funds to appreciate, exclusive of growth derived from donations. The Board Restricted Investment Fund and any returns on investment are not to be used to support the daily operations of the Agency. However, from time to time, the Board of Directors may vote and elect to release funds from the portfolio to support operations.

13. Other Liabilities

The Agency derives substantially all of its revenue from local, state and federal governmental agencies. Reimbursement of expenses applicable to various programs conducted for, and on behalf of, governmental and other agencies are subject to the effect, if any, of the results of subsequent audits by such agencies. The Agency has provided a reserve for government and other agency adjustments. The reserve is adjusted based on audits by governmental agencies and management's annual evaluation of the remaining reserve for fiscal years not yet audited. In the opinion of management, a reserve of \$883,467 at June 30, 2016 is sufficient to cover any potential disallowances or adjustments for 2010 through 2016.

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Notes to Financial Statements
June 30, 2016 and 2015

14. Commitments and Contingencies

Operating Leases

The Agency leases 16 facilities as group homes and office space under operating leases that expire at various dates through 2022. The future minimum lease payments, exclusive of escalation charges, under such leases at June 30, 2016 are as follows for years ending June 30:

2017	\$ 1,243,832
2018	1,068,578
2019	1,101,532
2020	1,073,920
2021	1,102,486
Thereafter	<u>542,627</u>
	<u>\$ 6,132,975</u>

Rent expense under operating leases for years ended June 30, 2016 and 2015, amounted to approximately \$1,390,000 and \$1,167,000.

Contingencies

The Agency is involved in various claims and legal actions arising in the ordinary course of business. Management believes that the amount of loss that might be sustained beyond existing insurance coverage if any would not be material to the financial statements.

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Abbott House
Supplementary Information
June 30, 2016 and 2015

Abbott House

Schedule of Functional Expenses - Program Services
Year Ended June 30, 2016

	Family Foster Care	OMH	SPDD	Bridges to Health	TRC	Congregate Care	Total
PERSONNEL							
Employee salaries	\$ 5,763,200	\$ 567,990	\$ 8,931,183	\$ 4,898,727	\$ 332,665	\$ 1,801,120	\$ 22,294,885
Employee fringe benefits	1,345,105	132,567	2,084,499	1,143,341	77,643	420,373	5,203,528
Total Personnel Costs	7,108,305	700,557	11,015,682	6,042,068	410,308	2,221,493	27,498,413
OTHER THAN PERSONNEL COSTS							
Transportation and workers' expense	153,551	1,322	37,458	98,949	21,715	27,627	340,622
Allowances, children	30	404	4,958	-	443	25,089	30,924
Activities, children	62,960	5,803	97,770	902	8,111	43,325	218,871
Purchase of services	819,206	3,823	79,539	44,567	1,849	16,988	965,972
Food and clothing	9,478	31,855	408,037	3,081	21,292	127,944	601,687
Program and household supplies	630,579	50,643	452,275	32,137	9,063	107,104	1,281,801
Rent	709,030	2,046	233,128	333,692	3,180	233,238	1,514,314
Utilities and telephone	339,443	21,540	382,031	120,256	37,725	89,940	990,935
Vehicles, fuel, repairs and maintenance	252,589	51,771	526,149	51,129	21,499	161,641	1,064,778
Office and medical supplies	170,412	2,940	109,139	65,040	22,131	12,049	381,711
Professional fees	170,506	-	5,357	-	-	6,000	181,863
Insurance	212,163	16,339	379,674	26,046	22,180	69,427	725,829
Interest	73,167	18,635	239,862	23,198	-	20,831	375,693
Taxes and facility tax	25,349	855	9,316	8,155	1,710	3,415	48,800
Depreciation and amortization	47,208	3,245	413,193	22,474	-	21,368	507,488
Total Expenses Before Foster-Parent Expenses	10,783,976	911,778	14,393,568	6,871,694	581,206	3,187,479	36,729,701
FOSTER-PARENT EXPENSES							
Foster-parent payments and stipends	4,889,991	-	-	-	-	-	4,889,991
Total Expenses	\$ 15,673,967	\$ 911,778	\$ 14,393,568	\$ 6,871,694	\$ 581,206	\$ 3,187,479	\$ 41,619,692

See independent auditors' report

Abbott House

Schedule of Functional Expenses - Program Services
Year Ended June 30, 2015

	Family Foster Care	OMH	SPDD	Bridges to Health	TRC	Congregate Care	Total
PERSONNEL							
Employee salaries	\$ 5,667,054	\$ 436,246	\$ 8,806,295	\$ 4,421,214	\$ 1,748,208	\$ 1,683,531	\$ 22,762,548
Employee fringe benefits	1,258,187	96,854	1,955,155	981,588	388,133	373,774	5,053,691
Total Personnel Costs	6,925,241	533,100	10,761,450	5,402,802	2,136,341	2,057,305	27,816,239
OTHER THAN PERSONNEL COSTS							
Transportation and workers' expense	135,902	2,802	45,144	70,346	65,347	35,724	355,265
Allowances, children	14,863	1,311	4,496	-	6,048	26,652	53,370
Activities, children	28,862	12,144	43,331	-	8,503	31,322	124,162
Tutoring, children	3,167	245	101,049	80	12,090	2,857	119,488
Purchase of services	533,230	1,123	30,779	37,643	11,137	8,658	622,570
Food and clothing	20,522	36,622	398,360	2,242	80,608	131,955	670,309
Program and household supplies	434,911	22,534	387,331	38,197	144,451	96,844	1,124,268
Rent	543,541	1,540	223,180	289,267	13,678	225,424	1,286,630
Utilities and telephone	270,328	20,964	386,078	114,116	156,754	92,676	1,040,916
Vehicles, fuel, repairs and maintenance	155,305	38,617	614,979	44,434	172,331	151,331	1,176,997
Office and medical supplies	281,506	1,127	152,051	41,589	61,843	8,802	546,918
Professional fees	277,945	792	19,960	-	-	8,583	307,280
Insurance	212,137	11,017	310,296	29,791	71,871	58,683	693,795
Interest	57,977	12,830	231,230	19,833	-	20,224	342,094
Taxes and facility tax	23,808	814	65,679	8,144	11,857	3,256	113,558
Depreciation and amortization	94,092	14,511	383,664	55,270	-	35,834	583,171
Total Expenses Before Foster-Parent Expenses	10,013,337	712,093	14,159,057	6,153,754	2,952,859	2,995,930	36,987,030
FOSTER-PARENT EXPENSES							
Foster-parent payments and stipends	4,953,408	-	-	-	-	-	4,953,408
Total Expenses	\$ 14,966,745	\$ 712,093	\$ 14,159,057	\$ 6,153,754	\$ 2,952,859	\$ 2,995,930	\$ 41,940,438